

Docket No. RSW920030263US1

**METHOD AND APPARATUS FOR MAINTAINING COMPATIBILITY  
WITHIN A DISTRIBUTED SYSTEMS MANAGEMENT ENVIRONMENT  
WITH A PLURALITY OF CONFIGURATION VERSIONS**

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**RELATED APPLICATIONS**

The present application is related by subject matter to commonly assigned, co-pending U.S. Patent Application Serial No. [\_\_\_\_\_] (Attorney Docket No. RSW920030262US1) entitled "METHOD FOR GENERATING XSLT DOCUMENTS FROM MULTIPLE VERSIONS OF A UML MODEL OR XML SCHEMAS CREATED FROM MULTIPLE VERSIONS OF A UML MODEL", filed on March 12, 2004, and hereby incorporated by reference.

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**BACKGROUND OF THE INVENTION**

**1. Technical Field:**

The present invention relates generally to systems management in a dynamic electronic-business (e-business) network environment, and in particular, but not exclusively to, a method, apparatus and computer instructions for maintaining compatibility within a distributed systems management environment with a plurality of configuration versions.

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**2. Description of Related Art:**

The use of the Internet for business transactions has increased significantly in recent years. In fact, the term "e-business" has evolved to mean doing business

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Docket No. RSW920030263US1

on-line. WebSphere is a set of Java™-based tools developed by International Business Machines Corporation of Armonk, New York, which allows customers to create and manage relatively sophisticated e-business Web sites.

- 5 The primary WebSphere tool is the WebSphere Application Server, which is a Java™-based, high-performance Web applications server that businesses can use to connect Web site customers with e-business applications.

In a distributed computing environment, such as, for  
10 example, the WebSphere 5.0 Network Deployment (ND) Applications Server, Java 2 Enterprise Edition (J2EE) product environment, a plurality of computing nodes (e.g., logical grouping of servers) are managed by an administrative facility that provides configuration  
15 settings for software applications being executed on the computing nodes. The configuration data for the WebSphere computing environment is stored in a master repository associated with the administrative facility and can be accessed as Extensible Markup Language (XML)  
20 documents.

A significant problem arises when different nodes in the computing environment are being operated with different versions of the WebSphere product, and the administrative facility synchronizes the nodes by sending  
25 configuration settings from the master repository to the nodes. The configuration settings "synched out" to the nodes can be for newer versions of the WebSphere product. Consequently, nodes configured with the older version settings are unable to operate with the format of the  
30 newer version configuration settings.

Docket No. RSW920030263US1

Therefore, it would be advantageous to have an improved method, apparatus and computer instructions for maintaining the compatibility of multiple nodes in a distributed systems management environment with multiple configuration settings, such as, for example, a WebSphere 5.x and/or 6.x Network Deployment (ND) Applications Server J2EE product environment.

Docket No. RSW920030263US1

### SUMMARY OF THE INVENTION

The present invention provides a method, apparatus and computer instructions for maintaining the compatibility of a multiple nodes in a distributed systems management computing environment with multiple configuration settings, by transforming the configuration data stored in the master repository (one possible realization is where XML documents contain the configuration data) from one version of the product to a previous version of the product. For multiple versions of the systems management computing environment, a transformation pipeline process (one possible realization of such a process may utilize XSLT) can be used by a "master" node to transform the configuration data multiple times for each version of the environment, until the configuration data has the format of the intended recipient "slave" node. Also, in accordance with the present invention, by performing the transformation process on the "master" side of the computing environment, "slave" nodes with older versions of the configuration settings can continue to operate without having to upgrade their software applications in this regard.

Docket No. RSW920030263US1

### BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the  
5 invention are set forth in the appended claims. The  
invention itself, however, as well as a preferred mode of  
use, further objectives and advantages thereof, will best  
be understood by reference to the following detailed  
description of an illustrative embodiment when read in  
10 conjunction with the accompanying drawings, wherein:

**Figure 1** is a block diagram of a distributed systems  
management computing environment that can be used to  
implement a preferred embodiment of the present  
invention;

15 **Figure 2** is a block diagram of an exemplary  
distributed systems management computing environment that  
illustrates principles of the present invention;

**Figure 3** is a block diagram of an exemplary  
distributed systems management computing environment,  
20 which further illustrates principles of the present  
invention;

**Figure 4** is a block diagram of an exemplary  
distributed systems management computing environment is  
depicted, which further illustrates principles of the  
25 present invention; and

**Figure 5** is a block diagram of an exemplary  
distributed systems management computing environment,  
which further illustrates principles of the present  
invention.

Docket No. RSW920030263US1

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

With reference now to the figures, **Figure 1** depicts  
5 a block diagram of a distributed systems management  
computing environment that can be used to implement a  
preferred embodiment of the present invention. For this  
exemplary embodiment, the distributed systems management  
computing environment depicted in **Figure 1** can be a  
10 WebSphere Application Server or similar computing  
environment, such as, for example, a WebSphere 5.x and/or  
6.x ND Applications Server J2EE product environment.

In the depicted example, distributed systems  
management computing environment **100** includes nodes **102**,  
15 **108** and **112**. For illustrative purposes and ease of  
understanding, only three such nodes are shown. In this  
regard, **Figure 1** is intended as an illustrative example,  
and not as an architectural limitation for the present  
invention. Appropriate network communications links are  
20 provided between nodes **102**, **108** and **112**. These  
communications links can include connections, such as,  
wire, wireless communication links, fiber optic cables,  
etc.

For this example, node **102** is configured to function  
25 primarily as a "master" node and network deployment  
manager, and nodes **108** and **112** are configured to function  
primarily as "slave" nodes. Node **102** includes master  
repository **104**, which functions primarily as a data  
storage location for storing, in this case, XML  
30 configuration files. For this exemplary embodiment, as

Docket No. RSW920030263US1

shown in **Figure 1**, master repository **106** stores one or more versions of 6.x format XML schema configuration files. Also, each of nodes **108**, **112** includes node repository **110**, **114**, respectively. For this exemplary  
5 embodiment, node repository **110** stores 6.x format XML schema configuration files, and node repository **114** stores 5.x format XML schema configuration files.

As such, it may be assumed that node **108** is configured to operate with version 6.x (e.g. "newer")  
10 settings, and node **112** is configured to operate with version 5.x (e.g., "older") settings. Also, for this exemplary embodiment, it may be assumed that node **102** is functioning as a network deployment manager, and in an administrative facility role, can synchronize nodes **108**,  
15 **112** with respect to node **102** by sending configuration settings from master repository **104** to nodes **108**, **112**. The circle denoted as **106**, and the flow lines from master repository **104** to nodes **108** and **112** illustrate such a synchronization (e.g., "synch") operation. As such, for  
20 this exemplary embodiment, it may be assumed that the configuration settings to be "synched out" to nodes **108**, **112** are for a 6.x version of a WebSphere computing environment product. In other words, for this example, node **102** is attempting to upgrade the associated "slave"  
25 nodes (e.g., nodes **108**, **112**) to a newer version of a computing environment (e.g., WebSphere) product.

Referring to **Figure 2**, a block diagram of an exemplary distributed systems management computing environment is depicted, which illustrates principles of  
30 the present invention. For example, computing

Docket No. RSW920030263US1

environment 200 can represent an essential part of a WebSphere Application Server or similar computing environment, such as, for example, a WebSphere Version 5.x version ND Applications Server J2EE computing  
5 environment that can be converted to a WebSphere Version 6.x ND Applications Server J2EE computing environment.

For this exemplary embodiment, computing environment 200 includes configuration repository 202, which functions primarily as a data storage location for  
10 configuration data, such as, for example, XML documents containing configuration data. As shown, configuration repository 202 can be partitioned to store at least two versions of configuration data. For this example, storage area 202a can be used for storing version 6.0 XML  
15 configuration files conforming to the 6.0 product's schema, and storage area 202b can be used for storing version 5.x (e.g., multiple versions of a 5.0 product) XML configuration files conforming to the 5.0 product's schema. In this regard, for illustrative purposes, a  
20 plurality of version 5.x XML schema documents (e.g., documents 205a-205d) are shown stored in storage area 202b.

Exemplary computing environment 200 also includes deployment manager 204. As such, deployment manager 204  
25 and configuration repository 202 can represent a "master" node (e.g., node 102 in Figure 1). In this regard, computing environment 200 further includes nodes 208, 210 and 212. For this illustrative example, nodes 208, 210 and 212 can represent "slave" nodes. As indicated by  
30 flow line 206, an administrative console component of



Docket No. RSW920030263US1

deployment manager 204 can function to read (and write) configuration data (e.g., document 205a in 5.x format) from (and to) configuration repository 202. Also, it may be assumed (e.g., as indicated by flow lines 209, 211, 5 213) that deployment manager 204 is "synching out" XML schema files (e.g., documents 205b, 205c, 205d in 5.x format) to nodes 208, 210 and 212, respectively.

In this regard, computing environment 200 can represent an initial state during a configuration 10 conversion operation for a computing environment, such as, for example, conversion of a WebSphere Version 5.x version ND Applications Server J2EE computing environment to a WebSphere Version 6.x ND Applications Server J2EE computing environment.

15 Referring now to **Figure 3**, a block diagram of an exemplary distributed systems management computing environment is depicted, which further illustrates principles of the present invention. For example, with reference also to computing environment 200 shown in 20 **Figure 2**, computing environment 300 can represent a second state during a configuration conversion operation for a computing environment, such as, for example, conversion of a WebSphere Version 5.x version ND Applications Server J2EE computing environment to a 25 WebSphere Version 6.x ND Applications Server J2EE computing environment.

For this example, computing environment 300 includes configuration repository 302. As shown, configuration repository 302 has been partitioned to store two versions 30 of configuration files. Storage area 302a can store

Docket No. RSW920030263US1

version 6.0 XML configuration data (e.g., XML documents conforming to 6.0 schema **306a**, **306b**), and storage area **302b** can store version 5.x XML configuration data (e.g., XML documents conforming to 5.x schema **305a-305d**).

5 Exemplary computing environment **300** also includes deployment manager **304**, and nodes **308**, **310** and **312**. Similar to **Figure 2**, deployment manager **304** and configuration repository **302** represent a "master" node, and nodes **308**, **310** and **312** represent "slave" nodes. As  
10 indicated by flow line **306**, an administrative console component (e.g., 6.0 administrative console component) of deployment manager **304** can read (and write) XML schema documents transformed to 6.0 form from (and to) configuration repository **302a**. For this example, it may  
15 be assumed (e.g., as indicated by flow lines **309**, **311**, **313**) that deployment manager **304** is still "synching out" configuration data in 5.x format (e.g., XML documents conformant to 5.x schema **305b**, **305c**, **305d**) to 5.x nodes **308**, **310** and **312**, respectively.

20 At this point, it is important to note that the exemplary state shown in **Figure 3** represents a transformation of configuration data in 5.x format (e.g., XML documents conformant to 5.x schema) to 6.0 format (e.g., XML documents conformant to 6.x schema). For this  
25 example, the transformed configuration data in 6.0 format can be stored in storage area **302a** (e.g., as 6.0 format XML documents **306a**, **306b**, etc.) as shown.

As such, an exemplary process for transforming configuration data in 5.x format to 6.0 format is  
30 disclosed in the above-described, related U.S. Patent

Docket No. RSW920030263US1

Application entitled "METHOD FOR GENERATING XSLT DOCUMENTS FROM MULTIPLE VERSIONS OF A UML MODEL OR XML SCHEMAS CREATED FROM MULTIPLE VERSIONS OF A UML MODEL", which is incorporated by reference herein in its entirety.

Referring now to **Figure 4**, a block diagram of an exemplary distributed systems management computing environment is depicted, which further illustrates principles of the present invention. For example, computing environment **400** can represent a third state during a configuration conversion operation for a computing environment, such as, for example, conversion of a WebSphere Version 5.x version ND Applications Server J2EE computing environment to a WebSphere Version 6.x ND Applications Server J2EE computing environment.

For this example, computing environment **400** includes configuration repository **402**, which is shown as storing (e.g., transformed) version 6.0 configuration data (e.g., XML documents conformant to 6.0 schema **406a**, **406b**) in storage area **402a**, and version 5.x configuration data (e.g., XML documents conformant to 5.x schema **405a-405c**) in storage area **402b**.

Exemplary computing environment **400** also includes deployment manager **404**, and nodes **408**, **410** and **412**. Deployment manager **404** and configuration repository **402** represent a "master" node, and nodes **408**, **410** and **412** represent "slave" nodes. However, different from node **308** in **Figure 3** (e.g., 5.x version node), node **408** now represents a 6.0 version node (e.g., having been configured for a version 6.0 WebSphere product). As

Docket No. RSW920030263US1

shown, a 6.0 administrative console component of deployment manager **404** can read (and write) XML schema documents in 6.0 format from (and to) configuration repository **402a**. For this example, it may be assumed  
5 (e.g., as indicated by flow lines **411**, **413**) that deployment manager **404** is still "synching out" XML configuration data in 5.x form (e.g., XML documents in 5.x format **405b**, **405c**) to the remaining 5.x nodes (e.g., nodes **410** and **412**, respectively). Notably, different  
10 from the "earlier" state represented in **Figure 3**, it also may be assumed (e.g., as indicated by flow line **409**) for the state represented in **Figure 4**, that deployment manager **404** is "synching out" XML configuration data in 6.0 form (e.g., XML document in 6.0 format **406b**) as the  
15 initial upgrade of the 5.x nodes to 6.0 nodes. In other words, for this example, node **408** is being upgraded to a 6.0 node as the first upgrade in the series of 6.0 upgrades for the 5.x nodes.

Referring now to **Figure 5**, a block diagram of an  
20 exemplary distributed systems management computing environment is depicted, which further illustrates principles of the present invention. For example, computing environment **500** can represent a fourth state during a configuration conversion operation for a  
25 computing environment, such as, for example, the computing environments represented in **Figures 2-4**. In this fourth state, it may be assumed that the remaining 5.x nodes (e.g., nodes **510**, **512**) of computing environment **500** are in the process of being converted to 6.0 nodes.

Docket No. RSW920030263US1

For example, configuration repository 502 of computing environment 500 is shown storing (transformed) version 6.0 configuration data (e.g., XML documents in 6.0 format 506a-506d) in storage area 502a, and version  
5 5.x configuration data (e.g., XML documents in 5.x format 505) in storage area 502b.

Exemplary computing environment 500 also includes deployment manager 504 and nodes 508, 510 and 512. Deployment manager 504 and configuration repository 502  
10 represent a "master" node, and nodes 508, 510 and 512 represent "slave" nodes. However, different from nodes 410 and 412 in Figure 4 (e.g., 5.x version nodes), nodes 510 and 512 now represent 6.0 version nodes (e.g., in the process of being configured for the version 6.0 WebSphere  
15 product). Notably, for this example, it may be assumed at this fourth state (e.g., as indicated by flow lines 511, 513) that deployment manager 504 is now "syncing out" XML configuration data in 6.0 format (e.g., XML documents in 6.0 format 506c, 506d) to the remaining  
20 nodes being configured (e.g., nodes 510 and 512) in computing environment 500. In other words, at this exemplary state, nodes 510 and 512 are being upgraded to 6.0 nodes as the remaining upgrades in the series of 6.0 node upgrades for what had been 5.x nodes.

25 It is important to note that while the present invention has been described in the context of a fully functioning data processing system or computing environment, those of ordinary skill in the art will appreciate that the processes of the present invention  
30 are capable of being distributed in the form of a

Docket No. RSW920030263US1

computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of  
5 computer readable media include recordable-type media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs, DVD-ROMs, and transmission-type media, such as digital and analog communications links, wired or wireless communications links using transmission forms, such as,  
10 for example, radio frequency and light wave transmissions. The computer readable media may take the form of coded formats that are decoded for actual use in a particular data processing system.

The description of the present invention has been  
15 presented for purposes of illustration and description, and is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in  
20 order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.